

Transmittal Letter to the United States
Designated/Elected Office (DO/EO/US)

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FORM PTO-1390

IAP15 Rec'd PCT/PTO 25 MAY 2006

Docket No. : 1109-003
U.S. Application No. : TBA
International Application No.: PCT/KR2004/002980
International Filing Date. : November 26, 2003 (26 November 2003)
Title of Invention : CATHODE ACTIVE MATERIAL FOR LITHIUM SECONDARY BATTERY, PROCESS FOR
PREPARING THE SAME AND REACTOR FOR USE IN THE SAME PROCESS
Applicant(s) for (DO/EO/US) : Sun et al.

10/580890

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures 35 U.S.C. 371 (f) at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest cla
5. ☒ A copy of the International Application as filed [35 U.S.C. 371(c) (2)].
 - a) ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b) ☐ has been transmitted by the international Bureau.
 - c) ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English [35 U.S.C.371(c) (2)].
7. ☐ Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C.371(c) (3)].
 - a) ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b) ☐ have been transmitted by the International Bureau.
 - c) ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
 - d) ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 [35 U.S.C.371(c) (3)].
9. ☐ An unsigned oath or declaration of the inventor(s) [35 U.S.C.371(c) (4)].
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 198.
12. ☐ An Assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is i
13. ☒ A **FIRST** preliminary amendment to be entered at filing.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ (other items or information)

EXPRESS MAIL No.: EV 707522195 US Deposited: May 25, 2006

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail u
the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231.

May 25, 2006

Valentina Papraniku

Date

10/580890

U.S. Application No. (if known, see 37 C.F.R. 1.50):

International Application No. : PCT/KR2004/002980

Docket No: 1109-003

17. X The following fees are submitted:**BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]:**

X Basic National Stage Fee..... \$ 300.00 || ||

___ National Stage Search Fee- U.S. was the ISA:..... \$ 100.00

X National Stage Search Fee- search report prepared and provided to USPTO..... \$ 400.00

___ National Stage Search Fee- All other situations:..... \$ 500.00

___ National Stage Examination Fee- U.S. was IPEA, and all claims
satisfy PCT Article 33(1)-(4)..... \$ 100.00 || ||

X National Stage Examination Fee- all other situations:..... \$ 200.00

___ National Stage Application Size Fee- for each additional 50 sheets..... \$ 250.00 || ||

ENTER APPROPRIATE BASIC FEE AMOUNT:

Surcharge of \$ 130.00 for furnishing the oath or declaration later than ___20___30 months
from the earliest claimed priority date [37 CFR 1.492(e)]

(Claims are based on Preliminary Amendment filed herewith)	Claims	filed	Extra	Rate
Total Claims	15-20=	0 x	\$ 50.=	
Indep. Claims	2-3=	0 x	\$ 200. =	
Multiple Dependent Claims (if applicable) +			\$ 360.=	\$

TOTAL OF ABOVE CALCULATIONS: ||

Reduction by ½ for filing by small entity, if applicable. Verified Small Entity

Statement must be filed also. [Note 37 CFR 1.9, 1.27, 1.28]

(divided by 2) ||

SUBTOTAL: ||

Processing fee of \$ 130.00 for furnishing the English Translation later than ___20___30 months
from the earliest claimed priority date [37 CFR 1.492(f)]

TOTAL NATIONAL FEE:

Fee for recording the enclosed assignment [37 CFR 1.21(h)] The assignment must be
accompanied by an appropriate cover sheet [37 CFR 3.28, 3.31].

TOTAL FEES ENCLOSED:**AMOUNT TO BE REFUNDED:****AMOUNT TO BE CHARGED:**

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a) X A check in the amount of \$900.00 to cover the above fees is enclosed.

b) ___ Please charge my Deposit Account No. 19-2825 in the amount of \$.00 to cover the above fees.

A duplicate copy of this sheet is enclosed.

c) X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit overpayment to Deposit Account No. 19-2825, order number 1109-002. A duplicate copy of this sheet is

NOTE: Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a) or (b)] must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO Joseph Sofer, Esq.

Sofer & Haroun, LLP

317 Madison Avenue

Suite 910

New York, NY 10017

Customer No.: 39600

Joseph Sofer

Name

signature

34,438

May 25

Reg. No.

Dat

10/580890

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PATENTDocket No. 1109-003IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Sun et al. Group Art Unit: TBA
 Serial No. : TBA Examiner: TBA
 Filed : May 25, 2006
 For : CATHODE ACTIVE MATERIAL FOR LITHIUM SECONDARY BATTERY, PROCESS
 FOR PREPARING THE SAME AND REACTOR FOR USE IN THE SAME PROCESS

AMENDMENT FEE TRANSMITTAL

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an Amendment for the above-identified application.

☒ No additional fee is required.☐ The additional fee has been calculated as shown below:CLAIMS AS AMENDED

	Claims Remaining After Amendment		Highest No. Covered by Previous Payments	Present Extra	Rate	Additional Fee
Total Claims*	15	-	20	=0	x \$50.00	\$ _____
Independent Claims	2	-	3	=0	x \$200.00	\$ _____
Multiple Dependent Claim(s)	(If claims added by amendment include Multiple Dependent Claim(s) and there was no Multiple Dependent Claim(s) in application before amendment add \$260.00 to additional fee.)					\$ _____
	Total:					\$ _____
<input type="checkbox"/> Verified Statement of "Small Entity" Status Under 37 CFR § 1.27 filed _____. Reduced Fees Under 37 CFR § 1.9(f) (50% of total) paid herewith.						\$ _____

Includes all independent and single dependent claims and all claims referred to in
multiple dependent claims. See 37 C.F.R. § 1.75(c).

- ☐ Charge fee to Deposit Account No. 19-2825 . Order No. _____
A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this amendment, or credit any overpayment to Deposit Account No.19-2825. Order No. 1109-003 .
A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☐ ___ Page(s) of substitute Sequence Listing
- ☐ ___ Computer disk(s) containing substitute Sequence Listing
- ☐ Statement under 37 C.F.R. § 1.825(b) that the computer and paper copies of the substitute Sequence Listing are the same.
- ☐ A check in the amount of \$ _____ to cover the filing fee is attached.

Respectfully submitted,

SOFER & HAROUN L.L.P.

Dated: May 25, 2006

By: _____

Joseph Sofer

Registration No. 34,438

Mailing Address:

SOFER & HAROUN L.L.P.
317 Madison Avenue
New York, New York 10017
(212) 697-2800
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PATENT

Docket No. 1109-003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Sun et al.
Serial No. : TBA
Filed : May 25, 2006
Title : CATHODE ACTIVE MATERIAL FOR LITHIUM SECONDARY BATTERY,
PROCESS FOR PREPARING THE SAME AND REACTOR FOR USE IN THE
SAME PROCESS

EXPRESS MAIL CERTIFICATE

Express Mail Label No.: EV 707522195 US

Date of Deposit May 25, 2006

I hereby certify that the following attached paper(s) or fee

- 1) National Stage Transmittal
- 2) Copy of International Application
- 3) Return Postcard
- 4) Express Mail Certificate
- 5) Preliminary Amendment
- 6) Amendment Transmittal
- 7) Check for \$900.00
- 8) Formal Drawings (10 sheet)
- 9) IDS w/ Form PTO-1449
- 10) 3 References
- 11) Executed Declaration

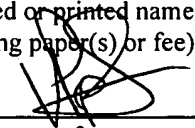
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37

C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450,

Alexandria, VA 22313-1450.

Valentina Papraniku

(Typed or printed name of person
mailing paper(s) or fee)


(Signature of person mailing
paper(s) or fee)

Mailing Address:
SOFER & HAROUN, LLP
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